

# Payment of fees and costs

**EPO - DG 1** 

27. C6. 2006



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| 01 | Shell International B.V.                                  | TS 764                  | 0 EPC P                             |
|    | Intellectual Property Services                            | Mode of payme           | nt Benk/giro office                 |
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| 02 | · · · · · · · · · · · · · · · · · · ·                     | Secount v<br>EPO is re- | vith the 128090005 1                |
|    | Patent application / patent No. (please us                | se a separate fo        |                                     |
| 03 | EP 04804628.8   | РСТ                     | 03                                  |
|    | Code  | Currency                | Amount                              |
| 04 | 001 Filing fee  | EUR                     |                                     |
| 05 | 002 Search fee  | EUR                     |                                     |
| 06 | 005 Designation fee(s) <sup>3</sup>                       | EUR                     | 560.00                              |
| 07 | 015 Claims fee(s) (Rule 31(1) EPC)                        | EUR                     |                                     |
| 08 | 055 Additional copy                                       | EUR                     |                                     |
| 09 | 006 Examination fee                                       | EUR                     |                                     |
| 10 | Fee for grant including fee for printing (up to 35 pages) | EUR                     |                                     |
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| 12 | 033 Renewal fee for the 3rd year                          | EUR                     | ·                                   |
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| 15 | Extension fee(s) for <sup>4</sup> :                       | EUR                     |                                     |
| 16 | 3   | EUR                     |                                     |
| 1  | 7   | EUR                     |                                     |
| 18 | 3   | EUR                     |                                     |
| 1  | · CEE   | EUR                     |                                     |
| 2  | DIZUR KASSEV  | EUR                     |                                     |
| 2  | 1   | EUR                     |                                     |
| 2  | 2   | Total EUR               | 560.00                              |
| Г  | - 1 2 - 2   | The                     | Hague The Netherlands, 26 June 2006 |



### To the European Patent Office

## Entry into the European phase (EPO as designated or elected Office)

| European application number  | EP04804628.8  |
|--|---|
| PCT application number   | PCT/EP2004/053196   |
| PCT publication number   | WO2005054657  |
| Applicant's or representative's reference  | TS 7640 EPC P   |
| 1. Applicant   | •   |
| Particulars of the applicant(s) are contained in the international publication or were recorded by the International Bureau subsequent to the international publication. | <b>Z</b>  |
| Changes which have not yet been recorded by the International Bureau are set out here:   |   |
| Address for correspondence   |   |
| Representative 1     This is the representative who will be listed in the Register of European Patents and to whom notifications will be made.                           |   |
| Name   | ZEESTRATEN, Mr. Albertus<br>Wilhelmus Joannes                       |
| Registration No  | 0200440.6   |
| Address of place of business   | Shell International B.V.  |
|  | Intellectual Property Services                                      |
|  | PO Box 384  |
|  | THE HAGUE, 2501 CJ  |
|  | Netherlands   |
| Telephone  | +31 70 377 2567   |
| Fax  | +31 70 377 6141   |
| e-mail   | jan.vandenberg@shell.com  |
| Any additional representative(s) is/are listed here:   |   |
| 3. General Authorisation:  |   |
| An individual authorisation is attached.   |   |
| A general authorisation has been registered under No:  |   |
| A general authorisation has been filed, but not yet registered.  |   |
| The authorisation filed with the EPO as PCT receiving Office expressly includes the European phase.  |   |
| Request for examination     Examination of the application under Art. 94 EPC is hereby requested. The examination fee is being (has been, will be) paid.                 | Ø   |
| Request for examination in an admissible non-EPO language:   |   |
|  | Verzocht wordt om onderzoek van de aanvrage als bedoeld in Art. 94. |
| 5. Copies  |   |
| One or more additional sets of copies of the documents cited in the supplementary European search report are hereby requested.   |   |
| Number of additional sets of copies  |   |
| 6. Documents intended for proceedings before the EPO   |   |

| the following documents:   |            |
|--|------------|
| the application documents published by the International Bureau (with all claims, description and drawings), where applicable with amended claims under Art. 19 PCT  |            |
| unless replaced by the amendments attached.  |            |
| Where necessary, clarifications should be attached as 'Other Documents'  |            |
| 6.2 Proceedings before the EPO as elected Office (PCT II) are to be based on the following documents:  |            |
| the documents on which the international preliminary examination report is based, including any annexes  | <b>[2]</b> |
| unless replaced by the amendments attached.  |            |
| Where necessary, clarifications should be attached as 'Other Documents'  |            |
| If the EPO as International Preliminary Examining Authority has been supplied with test reports, these may be used as the basis of proceedings before the EPO.   |            |
| 7. Translations  |            |
| Translations in one of the official languages of the EPO (English, French, German) are attached as crossed below:  |            |
| * In proceedings before the EPO as designated or elected Office (PCT I + II):  |            |
| Translation of the international application (description, claims, any text in the drawings) as originally filed, of the abstract as published and of any indication under Rule 13bis.3 and 13bis.4 PCT regarding biological material  |            |
| Translation of the priority application(s)   |            |
| It is hereby declared that the international application as originally filed is a complete translation of the previous application (Rule 38(5) EPC)  |            |
| * In addition, in proceedings before the EPO as designated Office (PCT I):   |            |
| Translation of amended claims and any statement under Art. 19 PCT, if the claims as amended are to form the basis for the proceedings before the EPO (see Section 6).  |            |
| * In addition, in proceedings before the EPO as elected office (PCT II):   |            |
| Translation of annexes to the international preliminary examination report   |            |
| 8. Biological material   |            |
| The invention relates to and/or uses biological material deposited under Rule 28 EPC.  |            |
| The particulars referred to in Rule 28(1)(c) EPC (if not yet known, the depository institution and the identification reference(s)) [number, symbols, etc.] of the depositor) are given in the international publication or in the translation submitted under Section 7 on: |            |
| page(s) / line(s) A copy of the receipt(s) of deposit issued by the depositary institution   |            |
| is attached  |            |
|  |            |
| will be filed at a later date  |            |
| A waiver of the right to an undertaking from the requester pursuant to Rule 28(3) EPC is attached.   |            |
| <ol> <li>Nucleotide and amino acid sequences</li> <li>The items required under Rules 5.2 and 13ter PCT and Rule 111(3) EPC have<br/>already been fumished to the EPO.</li> </ol>   |            |
| The sequence listing as part of the description is attached in PDF format.   |            |
| The sequence listing does not include matter that goes beyond the content of the application as filed.   | o ·        |
| In addition, the sequence listing data is attached in computer-readable form in accordance with WIPO Standard 25.  |            |
| The sequence listing data in computer-readable form in accordance with WIPO Standard 25 is identical to the sequence listing in PDF format.  |            |
| <ol> <li>Designation fees</li> <li>It is currently intended to pay seven times the amount of the designation</li> </ol>  |            |

| inten<br>RFe                              |  | med to have been pai  | d (Art. 2 No. 3   | -                     |                   |  |
|---|--|---|---|-----------------------|-------------------|--|
| 10.2<br>follow                            | It is currently intended to pay fewer<br>wing EPC contracting states designa   | <b>⊠</b>  |   |                       |                   |  |
| be no<br>debit<br>perio<br>fee. I<br>fees | It is requested that no communication of the in respect of the contracting so order has been issued, the EPO is an under Article 79(2), to debit seven if less than seven states are indicated only for those states, unless it is install period. | tates not indicated. It<br>authorised, on expiry<br>itimes the amount of<br>id. the EPO shall deb | an automatic<br>of the basic<br>the designation<br>it designation | <b>Z</b>              | ·                 |  |
| 11. Ex                                    | tension of the European patent   |   |   |                       |                   |  |
| non-<br>with<br>inten<br>pres             | application is also considered as be<br>contracting states to the EPC design<br>which "extension agreements" were<br>national application. However, the ex-<br>cribed extension fee is paid.   | nated in the internation<br>in force on the date of<br>ktension only takes ef                     | nal application of filing the fect if the                         | ZI                    |                   |  |
| It is d                                   | currently intended to pay the extension  | on fee for the followin   | g states:   |                       |                   |  |
| 12. Lis                                   | t of enclosed documents  |   |   |                       |                   |  |
|   | Description of document  | Original file   | name  | Assigned file name    |                   |  |
| 13. De<br>Curr                            | bit from deposit account<br>ency   |   |   | [Z]<br>EUR            |                   |  |
| acco                                      | European Patent Office is hereby au<br>ount with the EPO any fees and costs<br>osit account number   | thorised, to debit from<br>s indicated on the fee   | n the deposit<br>s page.  | 28090005              |                   |  |
| •   | ount holder  |   |   | Shell International   | B.V.              |  |
| 14. Redepos                               | eimbursements (if any) should be<br>it account:<br>ber and account holder  | made to the follow  | ing EPO   | ☑ Shell International | IB.V. 28090005    |  |
|   |  |   |   |                       |                   |  |
| <u>15. Fe</u>                             | es   |   | Factor/Reduction applied  | Fee schedule          | Amount to be paid |  |
| 15-1                                      | 002e Fee for supplementary Europea applications filed before 01.07.2005  | an search for   | 0   | 720.00                | 0.00              |  |
| 15-2                                      | 005 Designation fee  |   | 0   | 80.00                 | 0.00              |  |
| 15-3                                      | 006e Examination fee (Euro-PCT wit<br>European search report)  | hout supplementary  | 0.4   | ·                     | 596.00            |  |
| 15-4                                      | 015 Claims fee   |   | 4   | 45.00                 | 180.00            |  |
| 15-5                                      | 020 Basic national fee for an internat   | ional application   | 1   | 95.00                 | . 95.00           |  |
|   |  | Total:  |   | EUR                   | 871.00            |  |
| 16. An                                    | inotations   |   |   |                       |                   |  |
| 17. Si                                    | gnature(s) of applicant(s) or repre  | esentative  |   |                       |                   |  |
|   |  | TUE 114011E   |   |                       |                   |  |

Place:

Date:

23.June 2006

Signed by:

NL, Shell International BV, A. Zeestraten 1825

Capacity:

(Representative)



#### Europäisches Patentamt

European Patent Office Office européen des brevets

#### Acknowledgement of receipt

We hereby acknowledge receipt of the form for entry into the European phase (EPO as designated or elected Office) as follows:

|                        |   | <u> </u>                   |
|------------------------|---|----------------------------|
| Submission number      | 131889  | •                          |
| PCT application number | PCT/EP2004/053196   |                            |
| Date of receipt        | 23 June 2006  |                            |
| Receiving Office       | European Patent Office, The Hague   |                            |
| Your reference         | TS 7640 EPC P   |                            |
| Applicant              |   |                            |
| Country                |   |                            |
| Documents submitted    | package-data.xml  | epf1200.pdf (3 p.)         |
|                        | ep-euro-pct.xml   | application-body.xml       |
| Submitted by           | NL, Shell International BV, A. Zeestra<br>Subject: NL, Shell International BV, A. |                            |
|                        | European Patent Office, European Pat  |                            |
| Method of submission   | Online  |                            |
| Date and time receipt  | 23 June 2006, 15:20:45 (CEST)   |                            |
| generated              |   |                            |
| Digest                 | 43:D2:77:5B:2F:DB:1A:2F:1E:B0:89  | E8:A5:9B:44:1B:76:DC:4E:DB |
|                        |   |                            |

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#### PATENT COOPERATION TREATY

## **PCT**

| REC'D | 1 1 | JAN | 2006 |
|-------|-----|-----|------|
| WIPO  |     |     | PCT  |

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

| Annticentia or agentia file reference  | T   |  |  |  |  |  |  |
|--|---|--|--|--|--|--|--|
| Applicant's or agent's file reference<br>TS 7640 PCT   | FOR FURTHER AC  | CTION See Form PCT/IPEA/416                        |  |  |  |  |  |
| International application No.  | International filing date (d  | lay/month/year)                                    | Priority date (day/month/year)   |  |  |  |  |
| PCT/EP2004/053196  | 01.12.2004  |  | 01.12.2003   |  |  |  |  |
| International Patent Classification (IPC) or n   |   |  |  |  |  |  |  |
| F02M27/02, F02D19/08, F02B43/10  | )   | ,  |  |  |  |  |  |
|  |   |  |  |  |  |  |  |
| Applicant  |   |  |  |  |  |  |  |
| SHELL INTERNATIONALE RESEA   |   |  |  |  |  |  |  |
| Authority under Article 35 and tra   | nsmitted to the applicant   | according to Article                               | nis International Preliminary Examining<br>36.                                   |  |  |  |  |
| 2. This REPORT consists of a total   |   |  | ·  |  |  |  |  |
| 3. This report is also accompanied I   | by ANNEXES, comprising  | g:<br>   |  |  |  |  |  |
| a. Sent to the applicant and t   | to the International Burea  | u) a total of sheets,                              | as follows:  |  |  |  |  |
| sheets of the descript and/or sheets contain Administrative Instruc  | ing rectifications authorize  | gs which have been a<br>ed by this Authority (     | amended and are the basis of this report see Rule 70.16 and Section 607 of the   |  |  |  |  |
| ☐ sheets which superse<br>beyond the disclosure<br>Supplemental Box.   | ede earlier sheets, but wh<br>e in the international appli  | ich this Authority con<br>ication as filed, as inc | nsiders contain an amendment that goes<br>dicated in item 4 of Box No. I and the |  |  |  |  |
| to To the standard to the stan | Bureau only) a total of (inc  | dicate type and numb                               | per of electronic carrier(s)) , containing a                                     |  |  |  |  |
| a a muse of licting and or to  | sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions). |  |  |  |  |  |  |
| Box Helatting to Sequence  | s Listing (See Coddon Cod   | . 01 (1)0 7 (0)                                    | •  |  |  |  |  |
|  |   |  |  |  |  |  |  |
| 4. This report contains indications r  | elating to the following ite  | ems:   |  |  |  |  |  |
| ☑ Box No. I Basis of the op  | inion   |  |  |  |  |  |  |
| ☐ Box No. II Priority  |   |  |  |  |  |  |  |
|  |   | d to novelty, inventiv                             | e step and industrial applicability  |  |  |  |  |
| D. Box No. IV Lack of unity-o  |   |  |  |  |  |  |  |
| ☐ Box No. V Reasoned state applicability; ci   | ement under Article 35(2<br>tations and explanations  | ) with regard to nove<br>supporting such state     | Ity, inventive step or Industrial<br>ement                                       |  |  |  |  |
| ☐ Box No. VI Certain docum   |   |  |  |  |  |  |  |
|  | s in the international appli  |  |  |  |  |  |  |
| ☐ Box No. VIII Certain observ  | ations on the internationa  | al application                                     |  |  |  |  |  |
|  |   | Date of completion of                              | Ablo roport  |  |  |  |  |
| Date of submission of the demand   |   | Date of completion of                              | this report  |  |  |  |  |
|  |   | 11.01.2006   |  |  |  |  |  |
| 30.09.2005   |   | 11.01.2000   |  |  |  |  |  |
| Name and malling address of the internation  | onal  | Authorized Officer                                 | nethal Palentan.   |  |  |  |  |
| preliminary examining authority:  ———— European Patent Office - P.1  | B. 5818 Patentlaan 2  |  |  |  |  |  |  |
| NL-2280 HV Rijswijk - Pays<br>Tel. +31 70 340 - 2040 Tx: 3   | Bas   | Döring, M  |  |  |  |  |  |
| Fax: +31 70 340 - 2040 1X. S   | ,, 55, 5po  | Telephone No. +31 70                               | 0 340-   |  |  |  |  |

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/EP2004/053196

|    |   |  |  |                             |  |                      |                     | · · · · · · · · · · · · · · · · · · · |              | · · · · ·                 |                              |                 |
|----|---|--|--|-----------------------------|--|----------------------|---------------------|---------------------------------------|--------------|---------------------------|------------------------------|-----------------|
|    |   |  | Basis of the                               |                             |  |                      |                     |                                       |              |                           |                              |                 |
| 1. | With filed  | With regard to the language, this report is based on the international application in the language in which it was illed, unless otherwise indicated under this item.  |  |                             |  |                      |                     |                                       |              |                           |                              |                 |
|    |   | This repo  | ort is based<br>the languag                | on translations of a transl | ons from the orig<br>ation furnished                     | ginal lar<br>for the | nguage<br>ourpose   | into the<br>s of:                     | e following  | g languag                 | je ,                         |                 |
|    |   | □ nublic   | eation of the                              | internationa                | ules 12.3 and 2<br>Il application (ul<br>nination (under | nder Ru              | le 12.4)<br>5.2 and | l/or 55.                              | 3)           |                           |                              |                 |
| 2. | have  | Vith regard to the <b>elements*</b> of the international application, this report is based on <i>(replacement sheets which ave been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this eport as "originally filed" and are not annexed to this report):</i> |  |                             |  |                      |                     |                                       |              |                           |                              |                 |
|    | Des   | cription, F  | )ages                                      |                             |  |                      |                     |                                       |              |                           |                              |                 |
|    | 1-13  | •  | -3   | aso                         | originally filed   | •                    |                     |                                       |              |                           |                              |                 |
|    | 1-10  | ,  |  |                             | ,g,  |                      |                     |                                       |              |                           |                              |                 |
|    | Clai  | ims, Numl  | ers  |                             |  |                      |                     |                                       | , <b>.</b> . |                           |                              |                 |
|    | 1-14  | 1  |  | aso                         | originally filed   |                      | ٠.,                 |                                       |              |                           |                              |                 |
|    | Drav  | wings, Sh  | eets                                       |                             |  |                      |                     |                                       | ••           |                           |                              |                 |
|    | 1/2-2   | 2/2  |  | as                          | originally filed   |                      |                     |                                       |              |                           |                              | ,               |
|    |   | a seque  | nce listing a                              | ınd/or any re               | lated table(s) - s                                       | see Sur              | plemer              | ital Bo                               | Relating     | to Seque                  | ence Listing                 | )               |
| 3. |   | The ame  | endments ha                                | ave resulted                | in the cancellat   | tion of:             |                     |                                       |              |                           |                              |                 |
|    |   | ☐ the d  | escription, p                              |                             |  |                      |                     |                                       |              |                           |                              |                 |
|    | ☐ the claims, Nos.<br>☐ the drawings, sheets/figs                                       |  |  |                             |  |                      |                     |                                       |              |                           |                              |                 |
|    | ☐ the sequence listing (specify): ☐ any table(s) related to sequence listing (specify): |  |  |                             |  |                      |                     |                                       |              |                           |                              |                 |
|    |   | □ any t  | able(s) relat                              | ted to seque                | nce listing (spe   | city):               |                     |                                       |              |                           |                              |                 |
| 4. | had   | not beer   | ort has been<br>made, sind<br>al-Box (Rule | ce they have                | d as if (some of   | the an               | nendme<br>beyon     | nts and                               | nexed to to  | this repor<br>as filed, a | t and listed<br>as indicated | below<br>in the |
|    | Sup   | •  | escription, p                              |                             |  |                      |                     |                                       |              |                           |                              |                 |
|    |   | ☐ the c  | laims, Nos.                                | -                           |  |                      |                     |                                       |              |                           |                              |                 |
|    |   | ☐ the s  | Irawings, sh<br>equence lis                | ting (specify               | ·):  |                      |                     |                                       |              |                           |                              |                 |
|    |   | □ any t  | able(s) rela                               | ted to seque                | nce listing (spe   | cify):               |                     |                                       |              |                           |                              |                 |
|    | *   | Tf ite   | m 4 appli                                  | ies. some                   | or all of t  | hese l               | sheets              | may                                   | be mark      | ed "su                    | perseded.                    | . "             |

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/EP2004/053196

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Yes: Claims

5-9,12,13

No: Claims

1-4,10,11,14

Inventive step (IS)

Yes: Claims

No: Claims

1-14

Industrial applicability (IA)

Yes: Claims No: Claims 1-14

2. Citations and explanations (Rule 70.7):

see separate sheet

#### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (SEPARATE SHEET)

International application No.

PCT/EP2004/053196

#### Re Item V

Reasoned statement with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1 Reference is made to the following documents (D1-D3):

D1: US 2003/168263 A1 (BOTTI JEAN JOSEPH ET AL) 11 September 2003

D2: US 2003/115857 A1 (PREIS MICHAEL ET AL) 26 June 2003

D3: EP-A-1 030 395 (DELPHI TECHNOLOGIES INC) 23 August 2000

- The present application does not meet the criteria of Article 33(1) PCT, because the subject-matter of **claims 1-4, 10, 11** and **14** is not new in the sense of Article 33(2) PCT.
- 2.1 The document D1 discloses (the references in parentheses applying to this document) a process for operating a compression ignition internal combustion engine (30) in combination with a catalytic partial oxidation reformer (10) (cf. Figure 1, page 3, paragraphs 31 and 32 and page 3-4, paragraph 39) and an exhaust gas aftertreater (90), wherein: a mixture of a first fuel (11) and air (63), wherein the first fuel comprises Fischer-Tropsch derived fuel (cf. page 5, paragraph 55), is introduced in the combustion chamber of the engine (30) (cf. in particular page 3, paragraph 31); exhaust gas is discharged from the engine (30); a second fuel (11) and oxygen (59) are supplied (at least during cold start) to the catalytic partial oxidation reformer (10) to produce synthesis gas (20, 21), wherein the second fuel (11) comprises Fischer-Tropsch derived fuel; at least part (20) of the synthesis gas (20, 21) is supplied the exhaust gas aftertreater (90) and another part (21) to the combustion chamber of the engine (30); or to both.
- 2.2 The subject-matter of the **independent claim 1** is therefore not new (Article 33(2) PCT).
- 2.3 Furthermore, document D3 also (cf. in particular Figure 1 and column 5, paragraph 21) discloses the subject-matter of the **independent claim 1** (Article 33(2) PCT).

#### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (SEPARATE SHEET)

International application No.

PCT/EP2004/053196

- 2.4 Dependent claims 2-4, 10, 11 and 14 do not appear to contain any additional features which, in combination with the features of any claim to which they refer, meet the requirements of the PCT with respect to novelty because the subject-matter of claims 2-4, 10 and 14 is already disclosed by the document D1. Moreover, an exhaust gas recirculation system according to claim 11 is known from the document D3.
- The present application does not meet the criteria of Article 33(1) PCT, because the subject-matter of **dependent claims 5-9, 12** and **13** does not involve an inventive step in the sense of Article 33(3) PCT.
- 3.1 The supply of synthesis gas to NOx abatement systems for regeneration purposes as described in the **dependent claims 5-9** is already known from the document D2. In order to improve the regeneration process, the person skilled in the art would combine the teaching of the two documents D1 and D2 without the exercise of inventive skill, in order to solve the problem posed.
- 3.2 The volumetric ratios noted in **claims 12** and **13** fall in a merely straightforward chosen range which the skilled person would select, in accordance with circumstances, without the exercise of inventive skill.